

SBS SECURITIES
JSC

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No: 2108/2026/CV-SBS
*Ref SSC has received the report on the
results of private placement from SBS.*

Ho Chi Minh City, August 21th, 2026

DISCLOSURE OF UNUSUAL INFORMATION

**To: State Securities Commission
Vietnam Stock Exchange
Hanoi Stock Exchange**

1. Organization information:

- Organization name: SBS Securities Joint Stock Company
- Ticker: SBS
- Head office: 40 Pham Ngoc Thach, Xuan Hoa Ward, Ho Chi Minh City
- Telephone: (84-28) 6268.6868 Fax: (84-28) 3932 5665
- Spokes woman: Ms Tran Thi Thu Nga
- E-mail: hung.lt@sbsc.com.vn; nga.ttt@sbsc.com.vn

2. Contents of disclosed information:

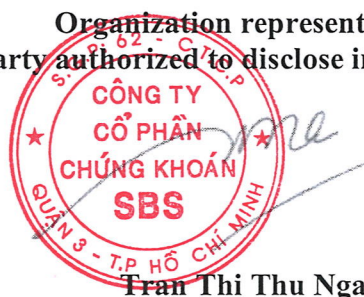
On August 21, 2026, SBS Securities Joint Stock Company received Official Dispatch No. 8154/UBCK-QLKD from the State Securities Commission regarding the receipt of the report on the results of the private placement of shares by SBS Securities Joint Stock Company.

3. This information was published on the company's website on August 21, 2026 at the link:
<https://sbsc.com.vn/en/investor-relations/information-disclosure>

We hereby commit that the information published above is true and take full legal responsibility for the content of the information published above.

*Recipient:
As "To"
* Save: TH Department

**Organization representative
Party authorized to disclose information**





**MINISTRY OF FINANCE
STATES SECURITIES COMMISSION**

No: 8154/UBCK-QLKD

*v/v Report on the results of the private placement of shares
by SBS Securities Joint Stock Company.*

TRANSLATION FOR REFERENCE ONLY

**SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness**

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Hanoi, August 20th 2026

TO : SBS SECURITIES JOINT STOCK COMPANY

The State Securities Commission (SSC) has received the report on the results of the private placement of shares, as detailed in Report No. 02/2026/SBS-BCKQ dated August 19, 2026, from SBS Securities Joint Stock Company (the Company). The SSC provides the following comments:

1. Organizations and individuals participating in the preparation of dossiers and reporting documents related to securities and securities market activities shall be legally liable for the legality, accuracy, truthfulness, and completeness of such dossiers and documents; organizations and individuals participating in the certification of dossiers and reporting documents shall be legally liable within the scope related to such dossiers and documents, in accordance with Clause 1, Article 11a of the Law on Securities, as added by Clause 4, Article 1 of Law No. 56/2024/QH15.

2. Pursuant to Board of Directors Resolution No. 11/2026/NQ-HĐQT dated August 19, 2026, and the Report on the results of the private share placement No. 02/2026/SBS-BCKQ dated August 19, 2026, the Company has distributed 9,500,000 shares.

3. The State Securities Commission requests the Company to carry out reporting and information disclosure in accordance with current legal regulations.

The State Securities Commission hereby informs the Company.

Received:

- *As above*
- *Chairman (to report)*
- *VNX, HNX, VSDC*
- *Save: VT, QLKD (07b)*

**ON BEHALF OF CHAIRMAN
HEAD OF THE
SECURITIES BUSINESS MANAGEMENT DEPARTMENT**

/Signed and sealed/

Ho Thi Hang