

SBS SECURITIES
JSC

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No: 3009/2026/CV-SBS

Ho Chi Minh City, September 30th, 2026

*Re: Disclosure of information regarding the
decision approving the change in share trading
registration*

DISCLOSURE OF UNUSUAL INFORMATION

**To: State Securities Commission
Vietnam Stock Exchange
Hanoi Stock Exchange**

1. Organization information:

- Organization name: SBS Securities Joint Stock Company
- Ticker: SBS
- Head office: 40 Pham Ngoc Thach, Xuan Hoa Ward, Ho Chi Minh City
- Telephone: (84-28) 6268.6868 Fax: (84-28) 3932 5665
- Spokes woman: Ms Tran Thi Thu Nga
- E-mail: hung.lt@sbsc.com.vn; nga.ttt@sbsc.com.vn

2. Contents of disclosed information:

On September 30, 2026, SBS Securities Joint Stock Company received Decision No. 1202/QĐ-SGDHN, dated September 29, 2026, from the Hanoi Stock Exchange regarding the approval of the change in the Company's share trading registration. Please refer to the attached Decision for details.

3. This information was published on the company's website on September 30, 2026 at the link: <https://sbsc.com.vn/qhcd/cong-bo-thong-tin>.

We hereby commit that the information published above is true and take full legal responsibility for the content of the information published above.

**Recipient:*

As "To"

**Save: Document Department*

**Organization representative
Party authorized to disclose information**



Tran Thi Thu Nga

HANOI STOCK EXCHANGE

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

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Hanoi, September 29th 2026

No: 1202/QĐ-SGDHN

DECISION

Regarding the approval of changes to the stock trading registration SBS Securities Joint Stock Company

CHIEF EXECUTIVE OFFICER OF HANOI STOCK EXCHANGE

Based on Decision No. 01/QĐ-HDTV dated June 30, 2021, of the Board of Members of the Vietnam Stock Exchange on the establishment of the Hanoi Stock Exchange;

Based on the Charter on Organization and Operation of the Hanoi Stock Exchange issued together with Decision No. 08/QĐ-HDTV dated July 9, 2021 of the Board of Members of the Vietnam Stock Exchange;

Based on the Securities Law No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Based on Government Decree No. 155/2020/ND-CP dated December 31, 2020, detailing the implementation of a number of articles of the Securities Law, as amended and supplemented by Decree No. 245/2025/ND-CP;

Based on the Regulations on Registration and Management of Unlisted Securities Transactions issued together with Decision No. 23/QĐ-HDTV dated March 18, 2026 of the Board of Members of the Vietnam Stock Exchange;

Reviewing the application for changes to the trading registration of SBS Securities Joint Stock Company;

As requested by the Director of the Listing Management Department.

DECISION:

Article 1. Approval is granted for SBS Securities Joint Stock Company to amend its stock trading registration with the following contents:

Stock name: Shares of SBS Securities Joint Stock Company;

Type of stock: Common stock;

Stock ticker: SBS;

Par value: 10,000 VND/share;

Method of issuance: Private placement of shares;

Number of additional shares registered for trading: 9,500,000 shares (*Nine million five hundred thousand shares*);

Value of additional shares registered for trading (at par value): VND 95,000,000,000 (*Ninety-five billion Vietnamese Dong*);



Total number of shares registered for trading: 156,107,600 shares (*One hundred fifty-six million one hundred and seven thousand six hundred shares*)

Total value of shares registered for trading (at par value): VND 1,561,076,000,000 (*One thousand five hundred sixty-one billion seventy-six million dong*).

Article 2. SBS Securities Joint Stock Company is obligated to disclose information in accordance with current regulations and comply with the provisions of the law on securities trading activities.

Article 3. This Decision shall take effect from October 1, 2026. The Director of the Listing Management Department and SBS Securities Joint Stock Company are responsible for implementing this Decision.

Receiving Place:

- *As Article 3*
- *SSC (for reporting)*
- *VNX*
- *VSDC*
- *Chairman, CEO (for reporting purposes)*
- *Market Information, Transaction monitoring, and Transaction support*
- *Saved: Document, Listing Management Department*

**ACTING BY THE C.E.O
VICE C.E.O**

/Signed and sealed/

DO DUC MANH

