

SBS SECURITIES
JSC

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No: 0910/2026/CV-SBS

Ho Chi Minh City, October 09th, 2026

Re: Disclosure of HNX announcement regarding
the first trading day for privately placed shares

DISCLOSURE OF UNUSUAL INFORMATION

**To: State Securities Commission
Vietnam Stock Exchange
Hanoi Stock Exchange**

1. Organization information:

- Organization name: SBS Securities Joint Stock Company
- Ticker: SBS
- Head office: 40 Pham Ngoc Thach, Xuan Hoa Ward, Ho Chi Minh City
- Telephone: (84-28) 6268.6868 Fax: (84-28) 3932 5665
- Spokes woman: Ms Tran Thi Thu Nga
- E-mail: hung.lt@sbsc.com.vn; nga.ttt@sbsc.com.vn

2. Contents of disclosed information:

On October 9, 2026, SBS Securities Joint Stock Company received Notification No. 4492/TB-SGDHN, dated October 8, 2026, from the Hanoi Stock Exchange regarding the trading date for the Company's shares subject to a change in trading registration following a private placement. Please refer to the attached notice for details.

3. This information was published on the company's website on October 09, 2026 at the link: <https://sbsc.com.vn/qhcd/cong-bo-thong-tin>.

We hereby commit that the information published above is true and take full legal responsibility for the content of the information published above.

**Recipient:*

As "To"

** Save: Document Department*

**Organization representative
Party authorized to disclose information**



Tran Thi Thu Nga

HANOI STOCK EXCHANGE

SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

-----o0o-----

No: 4992/TB-SGDHN

Hanoi, October 08th 2026

**NOTIFICATION**

Trading date for shares with changed trading registration of SBS Securities Joint Stock Company (Code: SBS)

Based on document No. 52/2026/CV-SBS dated October 2, 2026, from SBS Securities Joint Stock Company regarding the trading date of SBS shares, which has been changed according to Decision No. 1202/QĐ-SGDHN dated September 29, 2026, of the General Director of the Hanoi Stock Exchange, the Hanoi Stock Exchange announces:

- Stock name: Shares of SBS Securities Joint Stock Company;
- Type of stock: Common stock;
- Stock ticker: SBS;
- Par value: 10,000 VND/share;
- Method of issuance: Private placement of shares;
- Number of additional shares registered for trading: 9,500,000 shares (*Nine million five hundred thousand shares*);
- Value of additional shares registered for trading (at par value): VND 95,000,000,000 (*Ninety-five billion Vietnamese Dong*);
- Total number of shares registered for trading: 156,107,600 shares (*One hundred fifty-six million one hundred and seven thousand six hundred shares*);
- Total value of shares registered for trading (at par value): VND 1,561,076,000,000 (*One thousand five hundred sixty-one billion seventy-six million dong*);
- Trading date for the additional registered shares: Thursday, August 19, 2027 (shares are subject to a one-year transfer restriction from the date the offering ends)

The Hanoi Stock Exchange respectfully announces.

Receiving Place:

- SBS Securities Joint Stock Company
- SSC (for reporting)
- VNX (for reporting)
- VSDC
- Chairman, CEO (for reporting)
- Securities Companies
- Market Information Dept, Transaction monitoring Dept, and Transaction support Dept
- Saved: Document, Listing Management Department

Acting by the CEO
Vice CEO

/Signed and sealed/

Do Van Tam